

# Polynomial Selection Using Lattices

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# Intro

- Need to find 2 irreducible polynomials  $f_1(x), f_2(x) \in \mathbb{Z}[x]$  with common root  $m$  modulo  $N$ .

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- $\deg(f_1(x)) = 5$  (*algebraic polynomial*)
- $\deg(f_2(x)) = 1$  (*linear polynomial*)
- Homogeneous form:

$$\begin{aligned}F_1(x, z) &= a_d x^d + a_{d_1} x^{d-1} z + \dots + a_0 z^d \\F_2(x, z) &= x - mz\end{aligned}$$

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- Currently, algorithm of Thorsten Kleinjung yields the best polynomial pairs.

## "Good" Polynomials

- Want to find many pairs  $(a, b) \in \mathbb{Z}^2$  such that  $F_1(a, b)$  and  $F_2(a, b)$  are smooth.

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### Quality Measures

$$Q_2(f_1, f_2) = \int_0^\pi \rho \left( \frac{\alpha(F_1) + \log F_1(-A \cos \theta, B \sin \theta)}{\log L_1} \right) \cdot \rho \left( \frac{\alpha(F_2) + \log F_2(-A \cos \theta, B \sin \theta)}{\log L_2} \right) d\theta$$

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$$Q_4(f_1) = \max_{0 \leq i \leq d} |a_i| s^{d - \frac{i}{2}}$$

# Basics in Lattices

## Definition

Let  $b_1, \dots, b_n \in \mathbb{Q}^n$  be linearly independent vectors. The set

$$L := \left\{ x \in \mathbb{Q}^n \mid x = \sum_{i=1}^n a_i b_i, \quad a_i \in \mathbb{Z} \right\}$$

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Described by basis matrix

$$B(L) = \begin{pmatrix} - & - & -b_1 & - & - & - \\ & & \vdots & & & \\ - & - & -b_n & - & - & - \end{pmatrix}$$

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- For algebraic polynomial use the following basis matrix.

$$\begin{pmatrix} 1 & x & x^2 & \dots & x^d & f_1(m) \\ 1 & 0 & 0 & \dots & 0 & 1 \\ 0 & 1 & 0 & \dots & 0 & m \\ 0 & 0 & 1 & \dots & 0 & m^2 \\ \vdots & & & \ddots & & \vdots \\ 0 & 0 & 0 & \dots & 1 & m^d \\ 0 & 0 & 0 & \dots & 0 & N \end{pmatrix}$$

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Lattice reduction yields short lattice vector, i.e. polynomial with small coefficients.

# Skewness

More sieve reports, if sieving region and polynomial are *skewed*.

$$\begin{aligned} & -46023405120x^5 - 10480176714921624x^4 + 29328324309954903103603x^3 \\ & + 830837975090049001398611663x^2 + 44455517941130586826494215518773x \\ & + 130352490815251888089501986345593 \end{aligned}$$

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## Skewness in lattice basis

Multiply basis matrix with a weight matrix that forces the polynomial to be skewed.

$$W = \begin{pmatrix} 1 & 0 & 0 & \dots & 0 & 0 \\ 0 & s & 0 & \dots & 0 & 0 \\ 0 & 0 & s^2 & \dots & 0 & 0 \\ \vdots & & & \ddots & & \vdots \\ 0 & 0 & 0 & \dots & s^d & 0 \\ 0 & 0 & 0 & \dots & 0 & S \end{pmatrix}.$$

After LLL reduction apply the inverse scaling to obtain desired polynomial.

# Result

- Obtain (skewed) polynomial with small coefficients.
- $\Rightarrow$  *good* polynomial with respect to

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- BUT: We want to use a better approximation of number of sieve reports.
- $\Rightarrow$  Use different norm for LLL to capture quality with respect to  $Q_3$ .

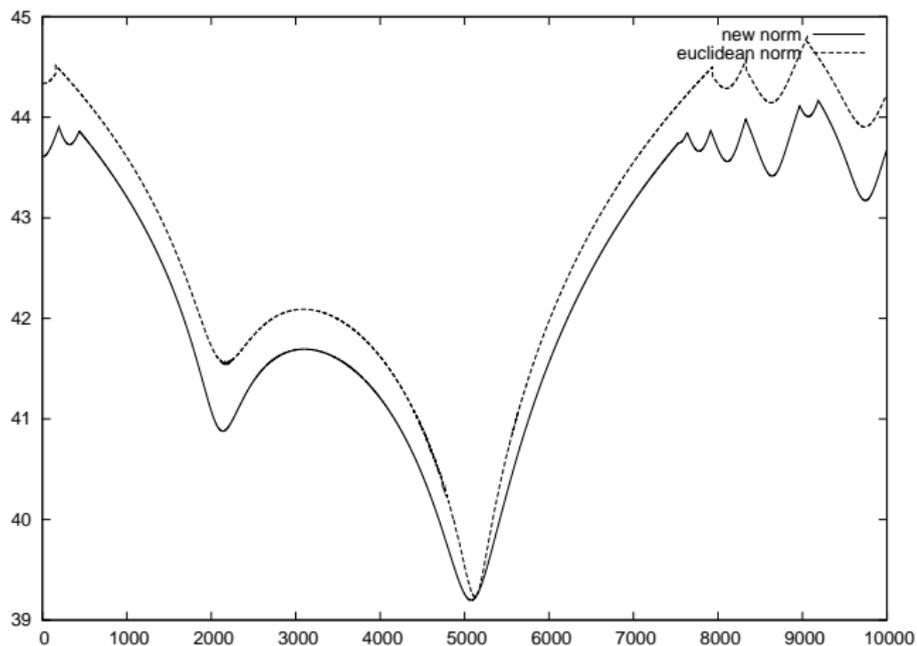
$$Q_3(f_1) = \alpha(F_1) + \frac{1}{2} \log \left( \int_{\substack{|a| \leq A \\ 0 < b \leq B}} F_1(a, b)^2 da db \right)$$

# Modified Norm

Alter norm used by LLL. Define  $\|v\| := v^T M v$  with

$$M := \begin{pmatrix} \frac{2}{11}s^{-5} & 0 & \frac{2}{27}s^{-3} & 0 & \frac{2}{35}s^{-1} & 0 & 0 \\ 0 & \frac{2}{27}s^{-3} & 0 & \frac{2}{35}s^{-1} & 0 & \frac{2}{35}s & 0 \\ \frac{2}{27}s^{-3} & 0 & \frac{2}{35}s^{-1} & 0 & \frac{2}{35}s & 0 & 0 \\ 0 & \frac{2}{35}s^{-1} & 0 & \frac{2}{35}s & 0 & \frac{1}{27}s^3 & 0 \\ \frac{2}{35}s^{-1} & 0 & \frac{2}{35}s & 0 & \frac{1}{27}s^3 & 0 & 0 \\ 0 & \frac{2}{35}s & 0 & \frac{1}{27}s^3 & 0 & \frac{2}{11}s^5 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & S \end{pmatrix}.$$

# Comparison: Quality with standard norm vs. new norm



# Root Property

- Recall quality measure

$$Q_3(f_1) = \underbrace{\alpha(F_1)}_{\text{Root property}} + \underbrace{\frac{1}{2} \log \left( \int_{\substack{|a| \leq A \\ 0 < b \leq B}} F_1(a, b)^2 da db \right)}_{\text{Size property}}$$

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- Size property optimized by LLL, but
- Root property  $\alpha(F_1)$  has major influence on quality.
- Need to model in lattice.

# Lattice basis with improved root property

$$\begin{pmatrix}
 1 & x & x^2 & \dots & x^d & f_1(m) & f_1(\alpha_1) & \dots & f_1(\alpha_k) \\
 1 & 0 & 0 & \dots & 0 & 1 & \alpha_1^0 & \dots & \alpha_k^0 \\
 0 & 1 & 0 & \dots & 0 & m & \alpha_1^1 & \dots & \alpha_k^1 \\
 0 & 0 & 1 & \dots & 0 & m^2 & \alpha_1^2 & \dots & \alpha_k^2 \\
 \vdots & & & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\
 0 & 0 & 0 & \dots & 1 & m^d & \alpha_1^d & \dots & \alpha_k^d \\
 0 & 0 & 0 & \dots & 0 & N & 0 & \dots & 0 \\
 0 & 0 & 0 & \dots & 0 & 0 & p_1 & \dots & 0 \\
 0 & 0 & 0 & \ddots & 0 & 0 & 0 & \ddots & 0 \\
 0 & 0 & 0 & \dots & 0 & 0 & 0 & \dots & p_k
 \end{pmatrix}$$

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- However, we are not able to allow LLL to choose a set of roots modulo small primes.
- Trying all possibilities to complex,
- No iterative method obvious.
  
- Need a different approach to obtain a good root property.

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- Our goal: Use LLL to find good polynomials with the special Galois group.

## Generic polynomials with Galois group $F_{20}$

$$f_{gen}(x; a, b) := x^5 + \left(b^2(a^2 + 4) - 2a - \frac{17}{4}\right)x^4 + \left(3b(a^2 + 4) + (a^2 + 4) + \frac{13a}{2} + 1\right)x^3 - \left(b(a^2 + 4) + \frac{11a}{2} - 8\right)x^2 + (a - 6)x + 1$$

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- But: unable to find a root  $m$  modulo  $N$ .

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Only happened if a lot of roots modulo small primes were enforced, but then the size of coefficients was very bad.

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 $f_{gen}(m; a, b) = 0 \pmod{N}$ .
- Two problems arise:
  - ① Upper bounds on  $a, b$  are very small. Experiments never found suitable  $a, b$ .
  - ② Even if we found good algebraic polynomial, the *polynomial pair* may still be bad.

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  - ① Upper bounds on  $a, b$  are very small. Experiments never found suitable  $a, b$ .
  - ② Even if we found good algebraic polynomial, the *polynomial pair* may still be bad.
- Add  $m$  as a further variable in Coppersmith's algorithm.
- But then the bounds get even worse and we cannot expect a solution.

## Finding a root $m$

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- Now: Try to find a good  $m$  with lattice methods.

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## Translation

Given a polynomial  $f(x)$  with root  $m$  modulo  $N$ , the polynomial  $f'(x) := f(x - \alpha)$  has root  $m' = m + \alpha$ .

$$(f'(m') = f(m' - \alpha) = f(m + \alpha - \alpha) = 0 \pmod{N})$$

# Idea

- Start with arbitrary polynomial (known root  $m$  modulo  $N$ ).
- Compute coefficients of translated polynomials.

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## Translated polynomials

$$f_1(x) = px - m$$

$$f_2(x) = a_5x^5 + a_4x^4 + a_3x^3 + a_2x^2 + a_1x + a_0$$

$$f_1'(x) = f_1(x - \alpha)$$

$$= p(x - \alpha) - m = px - (p\alpha + m)$$

$$f_2'(x) = f_2(x - \alpha)$$

$$= a_5x^5 + (a_4 - 5a_5\alpha)x^4 + (a_3 - 4a_4\alpha + 10a_5\alpha^2)x^3 \\ + (a_2 - 3a_3\alpha + 6a_4\alpha^2 - 10a_5\alpha^3)x^2 \\ + (a_1 - 2a_2\alpha + 3a_3\alpha^2 - 4a_4\alpha^3 + 5a_5\alpha^4)x \\ + (a_0 - a_1\alpha + a_2\alpha^2 - a_3\alpha^3 + a_4\alpha^4 - a_5\alpha^5).$$

# Problem Description

- Find  $\alpha$  such that coefficients of translated polynomial are small.

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## System of modular equations

$$g_1(\alpha) = p\alpha - m = \epsilon_1 \pmod{N}$$

$$g_2(\alpha) = a_4 - 5a_5\alpha = \epsilon_2 \pmod{N}$$

$$g_3(\alpha) = a_3 - 4a_4\alpha + 10a_5\alpha^2 = \epsilon_3 \pmod{N}$$

$$g_4(\alpha) = a_2 - 3a_3\alpha + 6a_4\alpha^2 - 10a_5\alpha^3 = \epsilon_4 \pmod{N}$$

$$g_5(\alpha) = a_1 - 2a_2\alpha + 3a_3\alpha^2 - 4a_4\alpha^3 + 5a_5\alpha^4 = \epsilon_5 \pmod{N}$$

$$g_6(\alpha) = a_0 - a_1\alpha + a_2\alpha^2 - a_3\alpha^3 + a_4\alpha^4 - a_5\alpha^5 = \epsilon_6 \pmod{N}.$$





# Results

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- Target vector is not among the short vectors in this lattice.
- Need a different approach.

## Second Approach:

### LLL-Property

Let  $B = b_1, \dots, b_n$  be LLL-reduced. Then the Gram-Schmidt-orthogonalized vectors  $b_i^*$  fulfill

$$|b_i^*| \geq 2^{-\frac{i-1}{4}} \left( \frac{\det(L)}{b_{\max}} \right)^{\frac{1}{i}}.$$

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- If target vector is larger than orthogonalized vector, then  $\langle b_i^*, t \rangle = 0$  gives polynomial equation.
- System of modular equations has 7 unknowns.
- If we find at least 7 orthogonal vectors that are larger than target vector, then we may be able to compute a solution.

## Remarks

- The lattice  $L_1$  only yields 6 polynomials.



# Experimental results

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- Problem: Obtained system of equations is still not 0-dimensional.
- $\Rightarrow$  Does not allow to efficiently recover the root.

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- New definition of norm better resembles polynomial quality.
- It is possible to provide (fixed) roots modulo (fixed) small primes, but no selection by LLL.
- Enforcing a special Galois group, s.th. the polynomial has a good root property dramatically worsens the size property.
- Searching for a good root  $m$  by means of LLL did not work (yet).