# The Power of Few Qubits and Collisions – Subset Sum below Grover's Bound

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Abstract. Let  $a_1, \ldots a_n, t$  be a solvable subset sum instance, i.e. there exists a subset of the  $a_i$  that sums to t. Such a subset can be found with Grover search in time  $2^{\frac{n}{2}}$ , the square root of the search space, using only  $\mathcal{O}(n)$  qubits. The only quantum algorithms that beat Grover's square root bound – such as the Left-Right-Split algorithm of Brassard, Hoyer, Tapp – either use an exponential amount of qubits or an exponential amount of expensive classical memory with quantum random access (QRAM). We propose the first subset sum quantum algorithms that breaks the square root Grover bound with linear many qubits and without QRAM. Building on the representation technique and the quantum collision finding algorithm from Chailloux, Naya-Plasencia and Schrottenloher (CNS), we obtain a quantum algorithm with time  $2^{0.48n}$ .

Using the Schroeppel-Shamir list construction technique, we further improve downto run time  $2^{0.43n}$ . The price that we have to pay for beating the square root bound is that as opposed to Grover search our algorithms require classical memory, but no QRAM, i.e. we get a time/memory/qubit tradeoff. Thus, our algorithms have to be compared to purely classical time/memory subset sum trade-offs such as those of Howgrave-Graham and Joux. Our quantum algorithms improve on these purely classical algorithms for all memory complexities  $M < 2^{0.2n}$ . As an example, for memory  $2^{0.1n}$  we obtain run time  $2^{0.47n}$  as opposed to  $2^{0.63n}$  for the best classical algorithm.

Keywords: Quantum Algorithms  $\cdot$  Amplitude Amplification  $\cdot$  Representation Technique  $\cdot$  Subset Sum  $\cdot$  Collision Finding

## 1 Introduction

Although there is remarkable progress in the development of quantum computing devices, in the medium-term we will implement our quantum algorithms with a very limited number of qubits. Thus, it is of great importance both from a theoretical and practical perspective to develop algorithms that run with small quantum memory consumption, say polynomial or even linear.

A prominent candidate for sharpening our algorithmic tools is the random subset sum problem, which lies at the heart of many post-quantum hardness

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assumptions such as SIS [Reg09]. Random subset sum instances consist of randomly chosen  $a_1, \ldots, a_n \in \mathbb{Z}_{2^n}$ , and a t that is the sum of a subset of  $a_i$ 's modulo  $2^n$ .

Classically, random subset sum instances can be solved with *polynomial* memory using collision finding and the representation technique [HGJ10] in time  $2^{0.65n}$  [BCJ11, EM19], and without memory restrictions in time and space  $2^{0.29n}$  [BCJ11]. There exist various time/memory tradeoffs in between [HGJ10, BCJ11, DDKS12, DEM19].

Quantumly, random subset sum can be solved with  $\mathcal{O}(n)$  qubits in time  $2^{n/2}$  using Grover search [Gro96]. The Left-Right-Split algorithm of Brassard, Hoyer and Tapp [BHT98, BJLM13] solves subset sum in time  $2^{n/3}$  using  $\mathcal{O}(n)$ many qubits, but also using  $2^{n/3}$  classical memory with quantum random access (QRAM). However, QRAM is believed to be expensive to realize in practice [GR04]. The currently best time bound of  $2^{0.23n}$  for subset sum is achieved by using a quantum random walk technique on the Becker-Coron-Joux algorithm [HM18]. However, this quantum walk algorithm also requires  $2^{0.23n}$  many qubits, and therefore is practically completely unattainable.

In this paper, we want to focus on subset sum algorithms with a linear amount of qubits and without using QRAM. Our central research question is whether we can beat the Grover square root bound  $2^{n/2}$  in our setting. Notice that our setting is motivated by the research direction initiated by Chailloux, Naya-Plasencia and Schrottenloher [CNPS17]. The authors of [CNPS17] developed a hash collision algorithm (called CNS) for hash functions  $\{0,1\}^* \to \{0,1\}^n$  with run time  $2^{2/5n}$ using  $2^{1/5n}$  classical memory (without QRAM) and  $\mathcal{O}(n)$  qubits.

Our contribution. Since the best classical polynomial memory algorithms for subset sum also use collision finding, we take CNS quantum collision finding as our starting point. Combining CNS with the representation technique, we achieve a first quantum subset sum algorithm with run time  $2^{0.48n}$  and classical memory  $2^{0.24n}$ . While this already breaks Grover's bound using only  $\mathcal{O}(n)$  many qubits, our first algorithm is still a bit unsatisfactory. Namely, there exist purely classical subset sum algorithms that with the same memory  $2^{0.24n}$  achieve run time only  $2^{0.39n}$ , without using any qubits. Thus, our first algorithm does not improve on the known classical subset sum landscape.

Based on our first CNS adaption, we develop a second subset sum quantum algorithm using Schroeppel-Shamir list construction [SS81]. With  $\mathcal{O}(n)$  qubits, our new construction achieves a time/memory tradeoff with

time 
$$T = \frac{2^{0.5n}}{M^{0.25}}$$
 for any classical memory  $M \le 2^{0.28n}$ 

The resulting tradeoff line is depicted in Figure 1 as  $2^{nd}$  algorithm. Notice that for maximal memory  $2^{0.28n}$  we go downto  $2^{0.43n}$ . As desired, our algorithm achieves Grover complexity  $2^{n/2}$  when we use no memory. Moreover for any additional memory, we go below the Grover bound, see the red area in Figure 1. In comparison to purely classical time-memory tradeoffs, we improve for any memory  $M \leq 2^{n/5}$ , see the shaded area in Figure 1. Thus, our relatively moderate  $\mathcal{O}(n)$  qubit memory provides speedups in a relatively large parameter space.



Fig. 1. Comparison of our results and the previous best classical trade-offs for subset sum.

We further optimize our second algorithm, resulting in the slightly improved convex curve denoted in Figure 1 by  $2^{nd}$  algorithm opt.

Our paper is organized as follows. In Section 2 we recall the CNS quantum collision finding algorithm [CNPS17]. We develop our first subset sum algorithm based on collision finding in Section 3. The second subset sum algorithm, that achieves a linear time-memory tradeoff, is described in Section 4. In Section 4.1 we further optimize our second quantum subset sum algorithm.

## 2 Quantum Collision Finding

Let us briefly define some preliminaries and recall the CNS collision finding algorithm [CNPS17].

We consider random subset sum instances defined as follows.

**Definition 1 (Random Subset Sum).** Let **a** be chosen uniformly at random from  $(\mathbb{Z}_{2^n})^n$ . For a random  $\mathbf{e} \in \{0,1\}^n$  with Hamming weight  $\operatorname{wt}(\mathbf{e}) = \frac{n}{2}$  we define  $t = \langle \mathbf{a}, \mathbf{e} \rangle = \sum_{i=1}^n a_i e_i \mod 2^n$ . Then  $(\mathbf{a}, t) \in (\mathbb{Z}_{2^n})^{n+1}$  is called a random subset sum instance and any  $\mathbf{e}' \in \{0,1\}^n$  with  $\langle \mathbf{a}, \mathbf{e}' \rangle = t$  is called a solution.

By Definition 1, every random subset sum instance has at least one solution and with high probability at most poly(n) many solutions. For ease of notation we assume, that we have a unique solution  $\mathbf{e}$ , which is the worst-case for our algorithms. Any  $(\mathbf{x}_1, \ldots, \mathbf{x}_k) \in \{-1, 0, 1\}^n$  with  $\mathbf{e} = \sum_{i=1}^k \mathbf{x}_i$  is called a *representation* of  $\mathbf{e}$ . By  $H(\cdot)$  we denote the binary entropy function  $H(\alpha) := -\alpha \log \alpha - (1 - \alpha) \log(1 - \alpha)$  for  $\alpha \in [0, 1]$ , where  $0 \cdot \log 0 := 0$ . We use Stirling's formula to approximate binomial coefficients by the entropy function, as

$$\binom{n}{m} = \tilde{\Theta}\left(2^{H(\frac{m}{n})n}\right)$$

where the soft-Oh notion suppresses polynomial factors. We also round upwards for ease of notation, e.g. we have  $n2^{n/3} = \tilde{\mathcal{O}}(2^{n/3}) \leq 2^{0.34n}$  for sufficiently large n.

Let  $\mathcal{A}$  be an algorithm that implements  $f : \mathbb{F}_2^n \to \mathbb{F}_2^m$  within runtime  $t_f$ . Then the quantum unitary  $O_f$  on n + m and additional ancilla qubits defined as

$$O_f(|\mathbf{x}\rangle |\mathbf{y}\rangle) \coloneqq |\mathbf{x}\rangle |\mathbf{y} \oplus f(\mathbf{x})\rangle$$

can be implemented in runtime  $T_f = \mathcal{O}(t_f)$ .

Set-Membership Oracle [CNPS17]: Let  $|\phi\rangle = \sum_i |\mathbf{x}_i\rangle$  be a quantum superposition, where each  $\mathbf{x}_i \in \mathbb{F}_2^n$  has non-zero amplitude. Let  $L \subseteq \mathbb{F}_2^n$  define a list. Notice that by definition, L does not contain an element twice.

We want to know which of the vectors  $\mathbf{x}_i$  of  $|\phi\rangle$  are in L. To this end, define the characteristic function

$$f_L : \mathbb{F}_2^n \to \mathbb{F}_2, \quad \mathbf{x} \mapsto \begin{cases} 1 & \text{if } \mathbf{x} \in L \\ 0 & \text{else} \end{cases}$$

Then the quantum set-membership oracle for L with operator

$$O_{f_L}(|\phi\rangle |0\rangle) := \sum_i |\mathbf{x}_i\rangle |f_L(\mathbf{x}_i)\rangle \tag{1}$$

can be computed in time  $T_{f_L} = \mathcal{O}(n \cdot |L|)$  with 2n + 1 qubits.

**Amplitude Amplification** [BHMT02]: Let  $\mathcal{A}$  be a quantum algorithm that works with no measurements and produces a uniformly distributed superposition  $|\phi\rangle = \sum_{\mathbf{x} \in \mathcal{X}} |\mathbf{x}\rangle$  for some set  $\mathcal{X} \subseteq \mathbb{F}_2^n$  in runtime  $T_{\mathcal{A}}$ . Let  $f : \mathbb{F}_2^n \to \mathbb{F}_2$  be a function with quantum unitary  $O_f$  on n + 1 and additional ancilla qubits that has runtime  $T_f$ .

Let us define the set  $\mathcal{X}_f \coloneqq \{\mathbf{x} \in \mathcal{X} \mid f(\mathbf{x}) = 1\}$ . Thus a random  $x \in \mathcal{X}$  evaluates to  $f(\mathbf{x}) = 1$  with probability  $p = \frac{|\mathcal{X}_f|}{|\mathcal{X}|}$ . Then there exists a quantum algorithm, called *amplitude amplification*, that outputs an element  $\mathbf{x} \in \mathcal{X}$  with  $f(\mathbf{x}) = 1$  by sending  $\mathcal{O}(\sqrt{p^{-1}})$  many queries to  $\mathcal{A}, \mathcal{A}^{-1}, O_f$  and  $O_f^{-1}$  and finally measures.

We call  $\mathcal{A}$  the setup and f the oracle function of amplitude amplification and set  $T_{Setup} = T_{\mathcal{A}}$ . The total runtime of amplitude amplification is given by

$$T = \tilde{\mathcal{O}}\left( (T_{Setup} + T_f) \cdot \sqrt{p^{-1}} \right) \quad . \tag{2}$$

Amplitude amplification is a generalization of Grover search [Gro96]. Notice that in the Grover setting we have  $\mathcal{X} = \mathbb{F}_2^n$  and  $\mathcal{A}$  consists of a Hadamard operation on each qubit, which can be done efficiently in time  $T_{Setup} = \mathcal{O}(1)$ .

Remark 1. For the setup  $\mathcal{A}$  we may use Grover search without final measurement. If we use as Grover oracle the characteristic function  $g(\mathbf{x}) = 1 \Leftrightarrow \mathbf{x} \in \mathcal{X} \subseteq \mathbb{F}_2^n$  of  $\mathcal{X}$ , we achieve the desired uniform superposition  $|\phi\rangle = \sum_{\mathbf{x} \in \mathcal{X}} |\mathbf{x}\rangle$ . A random  $\mathbf{x} \in \mathbb{F}_2^n$  evaluates to  $g(\mathbf{x}) = 1$  with probability  $p = \frac{|\mathcal{X}|}{|\mathbb{F}_2^n|}$ . This implies  $T_{Setup} = \tilde{\mathcal{O}}\left(T_g \cdot \sqrt{p^{-1}}\right)$ .

**CNS Quantum Collision Finding** [CNPS17]. Let us slightly adapt CNS quantum collision finding to our needs. Instead of finding a collision for a random function  $h: \mathbb{F}_2^n \to \mathbb{F}_2^n$  we use two random functions  $h_i: S_i \to \mathbb{F}_2^n$  for i = 1, 2 with arbitrary domains  $S_1, S_2$  satisfying  $|S_1| \leq 2^n$ . We denote the set of collisions between  $h_1$  and  $h_2$  by

$$C = \{ (\mathbf{x}_c, \mathbf{x}_q) \in S_1 \times S_2 \mid h_1(\mathbf{x}_c) = h_2(\mathbf{x}_q) \} \text{ with } |C| = R .$$

Let  $(\mathbf{x}_c, \mathbf{x}_q) \in C$ . We call  $\mathbf{x}_c$  the *classical half* and  $\mathbf{x}_q$  the *quantum half* of a collision, since we store  $\mathbf{x}_c$  classically and compute  $\mathbf{x}_q$  in quantum superposition.

Correctness. The CNS algorithm (see Algorithm 0) finds a collision  $(\mathbf{x}_c, \mathbf{x}_q) \in C$ with only  $\mathcal{O}(n)$  qubits in a two step process, see also Figure 2. First one constructs a classically stored list L that contains candidates for the classical half  $\mathbf{x}_c$ of a collision. The second step is an amplitude amplification that quantumly enumerates in superposition potential quantum halves  $\mathbf{x}_q$  of a collision. We find matching halves by using the quantum set-membership oracle for L.



Fig. 2. Main idea of CNS quantum collision finding (Algorithm 0).

#### Algorithm 0: QUANTUM COLLISION FINDING

Input  $:h_i: S_i \to \mathbb{F}_2^n$  for i = 1, 2Output  $:(\mathbf{x}_c, \mathbf{x}_q) \in S_1 \times S_2$  with  $h_1(\mathbf{x}_c) = h_2(\mathbf{x}_q)$ Parameters: Optimize  $r, \ell$ .

1. Let  $S_{r^{i_i}}^{h_i} \coloneqq {\mathbf{x} \in S_i \mid h_i(\mathbf{x}) = 0 \mod 2^r}$  for i = 1, 2. Construct, element-wise via Grover search, a sorted (by second entry) list

$$L = \{ (\mathbf{x}_c, h_1(\mathbf{x}_c)) \in S_r^{h_1} \times \mathbb{F}_2^n \} \text{ with } |L| = 2^{\ell}$$

- 2. Perform amplitude amplification with the following Setup and Oracle.
- (i) Setup: Construct

$$\left|\phi_{r}\right\rangle \coloneqq \frac{1}{\sqrt{\left|S_{r}^{h_{2}}\right|}} \sum_{\mathbf{x}_{q} \in S_{r}^{h_{2}}} \left|\mathbf{x}_{q}, h_{2}(\mathbf{x}_{q})\right\rangle \left|0\right\rangle$$

(ii) Oracle: Set-Membership-Oracle  $O_{f_r^h}$ 

$$O_{f_L^h}(|\phi_r\rangle) = \frac{1}{\sqrt{|S_r^{h_2}|}} \sum_{\mathbf{x}_q \in S_r^{h_2}} |\mathbf{x}_q, h_2(\mathbf{x}_q)\rangle |f_L^h(\mathbf{x}_q)\rangle \,.$$

Amplitude amplification eventually outputs some  $|(\mathbf{x}_q, h_2(\mathbf{x}_q))\rangle |1\rangle$ .

3. For the quantum half  $\mathbf{x}_q$  search for the classic half  $\mathbf{x}_c \in L$  with  $h_1(\mathbf{x}_c) = h_2(\mathbf{x}_q)$ .

In more detail, we construct a sorted (by second entry) list

$$L = \{ (\mathbf{x}_c, h_1(\mathbf{x}_c)) \mid h_1(\mathbf{x}_c) = 0 \mod 2^r \} \subseteq S_1 \times \mathbb{F}_2^n$$

where each element of L is constructed via Grover search. Since we fix r bits in L, on expectation  $\frac{|S_1|}{2^r}$  elements of  $S_1$  fulfill restriction  $h_1(\mathbf{x}_c) = 0 \mod 2^r$ , and  $h_1(\mathbf{x}_c)$  can take at most  $2^{n-r}$  different values. Since elements in L are different and  $|S_1| \leq 2^n$ , we obtain the restriction

$$\log L = \ell \le \min\{\log |S_1| - r, n - r\} = \log |S_1| - r$$

Furthermore we want to guarantee that on expectation L contains at least one element  $\mathbf{x}_c$  that can be completed to a collision  $(\mathbf{x}_c, \mathbf{x}_q)$ . In other words, we need an  $\mathbf{x}_c \in L$  such that there exists an  $\mathbf{x}_q$  with  $(\mathbf{x}_c, \mathbf{x}_q) \in C$ , |C| = R. A random  $\mathbf{x}_c \in S_1$  can be completed to a collision with probability  $\frac{R}{|S_1|}$ . Thus, L should contain at least  $\frac{|S_1|}{R}$  many elements, leading to the condition

$$\log|S_1| - \log R \le \ell \le \log|S_1| - r \quad . \tag{3}$$

From (3) we obtain

$$0 \le r \le \log R \quad . \tag{4}$$

For amplitude amplification we define the oracle function

$$f_L^h(\mathbf{x}_q) \coloneqq \begin{cases} 1 & \text{if } \exists (\mathbf{x}_c, h_1(\mathbf{x}_c)) \in L \text{ with } h_1(\mathbf{x}_c) = h_2(\mathbf{x}_q) \\ 0 & \text{else} \end{cases}$$

Then the set-membership oracle for L, defined for a single  $\mathbf{x}_q$ , becomes

$$O_{f_L^h}(|\mathbf{x}_q, h_2(\mathbf{x}_q)\rangle |0\rangle) \coloneqq |\mathbf{x}_q, h_2(\mathbf{x}_q)\rangle |f_L^h(\mathbf{x}_q)\rangle$$

*Runtime.* Let  $|L| = 2^{\ell}$ . By the randomness of  $h_1$ , every  $\mathbf{x}_c \in S_1$  satisfies the restriction  $h_1(\mathbf{x}_c) = 0 \mod 2^r$  with probability  $p = 2^{-r}$ . Thus the runtime of the first step is

$$T_1 = \tilde{\Theta}\left(|L| \cdot \sqrt{p^{-1}}\right) = \tilde{\Theta}\left(2^{\ell} \cdot 2^{\frac{r}{2}}\right) \quad . \tag{5}$$

In the second step of Algorithm 0 we create a superposition over the set  $S_r^{h_2} := \{\mathbf{x}_q \in S_2 \mid h_2(\mathbf{x}_q) = 0 \mod 2^r\}$ , as described in Remark 1. By the randomness of  $h_2$ , every  $\mathbf{x}_q \in S$  satisfies the restriction  $h_2(\mathbf{x}_q) = 0 \mod 2^r$  with probability  $p = 2^{-r}$ . Hence the setup runtime of amplitude amplification is

$$T_{Setup} = \tilde{\mathcal{O}}\left(\sqrt{p^{-1}}\right) = \tilde{\mathcal{O}}\left(2^{\frac{r}{2}}\right)$$

As described before, the quantum set-membership oracle for L requires time

$$T_{f_L^h} = \tilde{\mathcal{O}}(|L|) = \tilde{\mathcal{O}}(2^\ell) \quad .$$

Recall that a random element  $\mathbf{x}_c \in S_1$  can be completed to a collision  $(\mathbf{x}_c, \mathbf{x}_q)$  with probability  $\frac{R}{|S_1|}$ . Notice that this probability is unchanged if we choose a random  $\mathbf{x}_c \in S_r^{h_1}$  as in Algorithm 0. Therefore, we expect in total  $|L| \cdot \frac{R}{|S_1|}$  many collisions between L and the set  $S_r^{h_2}$  constructed in superposition. Thus, every  $\mathbf{x}_q \in S_r^{h_2}$  evaluates to  $f_L^h(\mathbf{x}_q) = 1$  with probability

$$p = \frac{|L|R}{|S_r^{h_2}||S_1|} = \frac{|L|R2^r}{|S_1||S_2|}$$

Using (2), we obtain for the second step (amplitude amplification) runtime

$$T_{2} = \tilde{\mathcal{O}}\left(\left(T_{Setup} + T_{f_{L}^{h}}\right) \cdot \sqrt{p^{-1}}\right) = \tilde{\mathcal{O}}\left(\left(2^{\frac{r}{2}} + 2^{\ell}\right) \cdot \sqrt{\frac{|S_{1}||S_{2}|}{|L|R2^{r}}}\right)$$
$$= \tilde{\mathcal{O}}\left(\left(2^{-\frac{\ell}{2}} + 2^{\frac{\ell-r}{2}}\right) \frac{|S_{1}|^{\frac{1}{2}}|S_{2}|^{\frac{1}{2}}}{|R|^{\frac{1}{2}}}\right) . \tag{6}$$

Since L is sorted, the third step of Algorithm 0 runs in time  $\mathcal{O}(\ell).$  In total, we obtain runtime

$$T = \tilde{\mathcal{O}}\left(\max\{T_1, T_2\}\right) \quad . \tag{7}$$

### 3 Subset Sum via Quantum Collision Finding

Let **e** be a unique solution for a random subset sum instance from Definition 1. Let  $(\mathbf{x}_c, \mathbf{x}_q) \in \{-1, 0, 1\}^n$  be a representation of **e**, i.e.  $\mathbf{e} = \mathbf{x}_c + \mathbf{x}_q$ . Then  $\langle \mathbf{a}, \mathbf{e} \rangle = t$  which implies

$$\langle \mathbf{a}, \mathbf{x}_c \rangle = t - \langle \mathbf{a}, \mathbf{x}_q \rangle$$
 (8)

Let  $S_i \subseteq \{-1, 0, 1\}^n$  for i = 1, 2. We define two functions  $\Sigma_i : S_i \to \mathbb{Z}_{2^n}, i = 1, 2$ , with

$$\Sigma_1 : \mathbf{x} \mapsto \langle \mathbf{a}, \mathbf{x} \rangle \text{ and } \Sigma_2 : \mathbf{x} \mapsto t - \langle \mathbf{a}, \mathbf{x} \rangle$$
 (9)

Then every representation  $(\mathbf{x}_c, \mathbf{x}_q)$  of **e** is a collision of  $\Sigma_1, \Sigma_2$ , i.e.  $\Sigma_1(\mathbf{x}_c) = \Sigma_2(\mathbf{x}_q)$ . However, the converse is not true.

Let  $(\mathbf{x}_c, \mathbf{x}_q) \in \{-1, 0, 1\}^{2n}$  be a collision of  $\Sigma_1, \Sigma_2$ . Then by construction  $(\mathbf{x}_c, \mathbf{x}_q)$  fulfills Equation (8) and therefore satisfies the subset sum identity  $\langle \mathbf{a}, \mathbf{x}_c + \mathbf{x}_q \rangle = t$ . However, in general we have  $\mathbf{x}_c + \mathbf{x}_q \in \{-2, \ldots, 2\}^n$ . Therefore,  $(\mathbf{x}_c, \mathbf{x}_q)$  is a representation of the unique solution  $\mathbf{e}$  iff  $\mathbf{x}_c + \mathbf{x}_q \in \{0, 1\}^n$ .

**Definition 2.** Let  $(\mathbf{x}_c, \mathbf{x}_q) \in \{0, 1, -1\}^{2n}$  be a collision of  $\Sigma_1, \Sigma_2$ . We call  $(\mathbf{x}_c, \mathbf{x}_q)$  consistent iff  $\mathbf{x}_c + \mathbf{x}_q \in \{0, 1\}^n$ .

Hence solving subset sum is equivalent to finding a consistent collision  $(\mathbf{x}_c, \mathbf{x}_q)$ . Moreover, the representations of  $\mathbf{e}$  are exactly the consistent collisions of  $\Sigma_1, \Sigma_2$ . Remark 2 follows.

*Remark 2.* The number R of representations of the solution **e** is equal to the number of consistent collisions of  $\Sigma_1, \Sigma_2$ .

Remark 3. Notice that our hash function  $\Sigma_1$  is linear, i.e.

$$\Sigma_1(\mathbf{x} + \mathbf{y}) = \langle \mathbf{a}, \mathbf{x} + \mathbf{y} \rangle = \langle \mathbf{a}, \mathbf{x} \rangle + \langle \mathbf{a}, \mathbf{y} \rangle = \Sigma_1(\mathbf{x}) + \Sigma_1(\mathbf{y}) .$$

We use this linearity for our improved algorithm in Section 4.

It remains to define good representations  $(\mathbf{x}_c, \mathbf{x}_q)$  of **e**. Let us start for didactical reasons with a natural, unique representation of **e** that fails to beat Grover's square root bound.

#### Unique Representation. Let us define

$$S_1 = \{0, 1\}^{n/2} \times 0^{n/2}$$
 and  $S_2 = 0^{n/2} \times \{0, 1\}^{n/2}$ .

Then every **e** has a unique representation in  $S_1 \times S_2$ . This implies that  $\Sigma_1, \Sigma_2$  have a single collision, i.e. R = 1. Condition (3) implies

$$\ell \ge \log |S_1| - \log R = \frac{n}{2} \; .$$

From equation (5) we have  $T_1 = \Omega(2^{\frac{n}{2}})$ , which implies that we cannot beat Grover's bound.

More Representations. Let  $0 \le \alpha \le 1/4$ . For i = 1, 2 we define

$$S_i = \{ \mathbf{x} \in \{-1, 0, 1\}^n \mid \mathbf{x} \text{ contains } \left(\frac{1}{4} + \alpha\right) n \text{ many 1's and } \alpha n \text{ many } (-1)'s \}$$
(10)

with size

$$|S_1| = |S_2| = \binom{n}{\left(\frac{1}{4} + \alpha\right)n, \alpha n}$$

By the choice of  $S_1, S_2$  every 1-entry of the solution **e** can be represented as 1+0 and 0+1 and every 0-entry can be represented as 0+0, 1+(-1) and (-1)+1. Thus the number of representations is

$$R = \binom{\frac{n}{2}}{\frac{n}{4}} \binom{\frac{n}{2}}{\alpha n, \alpha n} .$$

Quantum Collision Finding for Subset Sum. Let us adapt the CNS quantum collision finding (Algorithm 0) to our subset sum setting, resulting in Algorithm 1.

$\mathbf{A}$	gorithm	1:	QUANTUM	Subset S	Sum (	Collision	FINDING
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Input  $: (\mathbf{a}, t) \in (\mathbb{Z}_{2^n})^{n+1}$ Output  $: \mathbf{e} \in \{0, 1\}^n$ Parameters: Optimize  $r, \ell, \alpha$  as  $r = 0.4784n, \ell = 0.2392n, \alpha = 0.0175$ . 1. Let  $S_r^{\Sigma_i} := \{\mathbf{x} \in S_i \mid \Sigma_i(\mathbf{x}) = 0 \mod 2^r\}$  for i = 1, 2.

Construct, element-wise via Grover search, a sorted (by second entry) list

$$L = \{ (\mathbf{x}_c, \Sigma_1(\mathbf{x}_c)) \in S_r^{\Sigma_1} \times \mathbb{Z}_{2^n} \} \text{ with } |L| = 2^{\ell}.$$

2. Perform amplitude amplification with the following Setup and Oracle.

(i) Setup: Construct

$$|\phi_r\rangle \coloneqq \frac{1}{\sqrt{|S_r^{\Sigma_2}|}} \sum_{\mathbf{x}_q \in S_r^{\Sigma_2}} |\mathbf{x}_q, \Sigma_2(\mathbf{x}_q)\rangle |0\rangle.$$

(ii) Oracle: Set-Membership-Oracle  $O_{f_{t}}$ 

$$O_{f_L^{\Sigma}}(|\phi_r\rangle) = \frac{1}{\sqrt{|S_r^{\Sigma_2}|}} \sum_{\mathbf{x}_q \in S_r^{\Sigma_2}} |\mathbf{x}_q, \Sigma_2(\mathbf{x}_q)\rangle \left| f_L^{\Sigma}(\mathbf{x}_q) \right\rangle.$$

Amplitude amplification eventually outputs some  $|(\mathbf{x}_q, \Sigma_2(\mathbf{x}_q))\rangle |1\rangle$ .

3. For the quantum half  $\mathbf{x}_q$  search for the classic half  $\mathbf{x}_c \in L$  with  $\Sigma_1(\mathbf{x}_c) = \Sigma_2(\mathbf{x}_q)$  and  $\mathbf{x}_c + \mathbf{x}_q \in \{0, 1\}^n$ .

We instantiate the hash functions by  $\Sigma_1, \Sigma_2$  from equation (9), where  $S_1, S_2$  are defined via (10).

In addition, we have to slightly modify the quantum set-membership oracle, because we have to check for consistency of collisions (Definition 2). Let us define the oracle function

$$f_L^{\Sigma}(\mathbf{x}_q) \coloneqq \begin{cases} 1 & \text{if } \exists (\mathbf{x}_c, \Sigma_1(\mathbf{x}_c)) \in L \text{ with } \Sigma_1(\mathbf{x}_c) = \Sigma_2(\mathbf{x}_q) \land \mathbf{x}_c + \mathbf{x}_q \in \{0, 1\}^n \\ 0 & \text{else} \end{cases}$$

Then our quantum set-membership oracle for L, defined for a single  $\mathbf{x}_q$ , becomes

$$O_{f_L^{\Sigma}}(|\mathbf{x}_q, \Sigma_2(\mathbf{x}_q)\rangle |0\rangle) := |\mathbf{x}_q, \Sigma_2(\mathbf{x}_q)\rangle |f_L^{\Sigma}(\mathbf{x}_q)\rangle \quad . \tag{11}$$

The set-membership oracle can be realized in time  $T_{f_L^{\Sigma}} = \tilde{\mathcal{O}}(|L|)$ .

**Theorem 1.** Algorithm 1 solves random subset sum instances  $(\mathbf{a}, t) \in (\mathbb{Z}_{2^n})^{n+1}$ in expected time  $T = 2^{0.4785n}$  using  $\mathcal{O}(n)$  qubits and memory  $M = 2^{0.2392n}$ .

*Proof.* Our parameter choice  $\alpha = 0.0175 \le \frac{1}{4}$  determines the values of  $|S_1|, |S_2|$  and R as

$$|S_1| = |S_2| = \binom{n}{\left(\frac{1}{4} + \alpha\right)n, \alpha n} = \tilde{\mathcal{O}}(2^{0.9569n}) ,$$
$$R = \binom{\frac{n}{2}}{\frac{n}{4}} \binom{\frac{n}{2}}{\alpha n, \alpha n} = \tilde{\mathcal{O}}(2^{0.7177n}) .$$

Moreover, we easily check that our optimized parameter choice  $\alpha = 0.0175$ , r = 0.4784n and  $\ell = 0.2392n$  fulfills restrictions (3) and (4):

$$\log |S_1| - \log R = 0.2392n \le \ell \le 0.4785n = \log |S_1| - r ,$$
  
$$0 \le r \le 0.7177n = \log R .$$

Using equations (5) and (6), we obtain runtimes

$$\begin{split} T_1 &= \mathcal{O}\left(2^{\ell} \cdot 2^{\frac{r}{2}}\right) = \tilde{\mathcal{O}}(2^{0.4784n}) \ ,\\ T_2 &= \tilde{\mathcal{O}}\left(\frac{|S_1|^{\frac{1}{2}}|S_2|^{\frac{1}{2}}}{|R|^{\frac{1}{2}}} 2^{-\frac{\ell}{2}} + \frac{|S_1|^{\frac{1}{2}}|S_2|^{\frac{1}{2}}}{|R|^{\frac{1}{2}}} 2^{\frac{\ell-r}{2}}\right) = \tilde{\mathcal{O}}(2^{0.47845n} + 2^{0.47845n}) \ . \end{split}$$

Thus, by equation (7) Algorithm 1 has total runtime

$$T = \tilde{\mathcal{O}}(\max\{T_1, T_2\}) = \tilde{\mathcal{O}}(2^{0.47845n}) \le 2^{0.4785n}$$
.

The memory complexity is determined by the size of L as

$$M = \tilde{\mathcal{O}}(|L|) = \tilde{\mathcal{O}}(2^{\ell}) = \tilde{\mathcal{O}}(2^{0.2392n}) \le 2^{0.2392n}$$

The application of Grover search and amplitude amplification both require only  $\mathcal{O}(n)$  many qubits.

While Theorem 1 beats Grover's bound using only  $\mathcal{O}(n)$  qubits and no QRAM, it does not improve over purely classical time/memory tradeoffs, see Figure 1.

### 4 Using a Classical Algorithm for List Construction

While Algorithm 1 is a direct adaptation of CNS quantum collision finding, it ignores special properties of the subset sum setting. E.g. in step 1 of Algorithm 1 we are building a classical list L, where r bits of the hash function evaluation  $\Sigma_1(x)$  are fixed to zero. Each list element is constructed one by one using Grover search, resulting in total runtime  $|L| \cdot 2^{r/2}$  for step 1.

However, quantum algorithms like Grover Search are not optimal in finding many solutions to a problem, and Grover search does not take advantage of the linearity of hash function  $\Sigma_1$  (see Remark 3). We improve the step 1 list construction by using the classical Schroeppel-Shamir algorithm [SS81]. We also tried other more advanced classical list constructions for L such as BCJ [BCJ11], but could not further improve over Schroeppel-Shamir.

To make optimal use of the representation technique, we also have to redefine our search spaces.

**Tunable Representations.** Let  $0 \le c \le 1$ . We define the following sets

$$T(c) \coloneqq \left\{ \mathbf{x} \in \{-1, 0, 1\}^{cn} \middle| \begin{array}{l} \mathbf{x} \text{ contains } (1/4 + \alpha) cn \text{ many } 1\text{'s} \\ \text{and } \alpha cn \text{ many } (-1)\text{'s} \end{array} \right\}$$
$$B(c) \coloneqq \left\{ \mathbf{x} \in \{0, 1\}^{cn} \middle| \begin{array}{l} \mathbf{x} \text{ contains } \frac{1}{2} cn \text{ many } 1\text{'s} \end{array} \right\}.$$

Let  $0 \le c_1 \le 1$ . We set our search spaces as

$$S_1 = T(c_1) \times 0^{(1-c_1)n}$$
,  
 $S_2 = T(c_1) \times B(1-c_1)$ ,

Therefore, we obtain in  $S_1$ ,  $S_2$  an overlapping part of length  $c_1n$ , and an additional length  $(1 - c_1)n$  search space for the quantum part, see also Figure 3. In the additional search space  $\mathbf{x}_q$  has relative weight 1/2. In the overlapping part both  $\mathbf{x}_c, \mathbf{x}_q$  have relatively (to the length  $c_1n$ )  $(1/4 + \alpha)$  many 1-entries and  $\alpha$  many (-1)-entries.



**Fig. 3.** Visualization of search spaces  $S_1, S_2$ .

Thus  $S_1$  and  $S_2$  have sizes

$$|S_1| = |T(c_1)| = \begin{pmatrix} c_1 n \\ (\frac{1}{4} + \alpha)c_1 n, \alpha c_1 n \end{pmatrix}$$

$$|S_2| = |T(c_1)| \cdot |B(1-c_1)| = {c_1 n \choose (\frac{1}{4} + \alpha)c_1 n, \alpha c_1 n} {(1-c_1)n \choose \frac{1}{2}(1-c_1)n} ,$$

and the number of representations is

$$R = \begin{pmatrix} \frac{1}{2}c_1n\\ \frac{1}{4}c_1n \end{pmatrix} \begin{pmatrix} \frac{1}{2}c_1n\\ \alpha c_1n, \alpha c_1n \end{pmatrix}$$

Constructing L via Schroeppel-Shamir. Our hash functions  $\Sigma_1, \Sigma_2$  from (9) remain unchanged. We have to compute

$$L = \{ (\mathbf{x}_c, \Sigma_1(\mathbf{x}_c)) \in S_1 \times \mathbb{Z}_{2^n} \mid \Sigma_1(\mathbf{x}_c) = 0 \bmod 2^r \}$$

We expect that L has size  $\frac{|S_1|}{2^r}$ . By Definition 1 of our random subset sum instances, it is not hard to show that by a Chernoff bound with overwhelming probability |L| deviates from its expectation by at most a logarithmic factor. Hence, in the following we set  $|L| = \tilde{\Theta}(\frac{|S_1|}{2^r})$ . L can be computed with the Schroeppel-Shamir algorithm in time

$$T_{1} = \tilde{\mathcal{O}}\left(\max\left\{|S_{1}|^{\frac{1}{2}}, |L|\right\}\right) = \tilde{\mathcal{O}}\left(\max\left\{|S_{1}|^{\frac{1}{2}}, \frac{|S_{1}|}{2^{r}}\right\}\right)$$
(12)

using classical memory  $M = \tilde{\mathcal{O}}(\max\left\{|S_1|^{\frac{1}{4}}, \frac{|S_1|}{2^r}\right\}).$ Our modifications result in Algorithm 2.

**Theorem 2.** Algorithm 2 solves random subset sum instances  $(\mathbf{a}, t) \in (\mathbb{Z}_{2^n})^{n+1}$ by using only  $\mathcal{O}(n)$  qubits in expected runtime

$$T = \tilde{\mathcal{O}}\left(\frac{2^{0.5n}}{M^{0.2532}}\right) \quad \text{for any classical memory } M \le 2^{0.2852n}$$

*Proof.* Our parameter choice  $\alpha = 0.0042 \leq \frac{1}{4}$  determines the values of  $|S_1|, |S_2|$ and R as a function of  $0 \le c_1 \le 1$  as

$$\begin{aligned} |S_1| &= \binom{c_1 n}{(\frac{1}{4} + \alpha)c_1 n, \alpha c_1 n} = \tilde{\mathcal{O}}(2^{0.8556c_1 n}) ,\\ |S_2| &= \binom{(1 - c_1)n}{\frac{1}{2}(1 - c_1)n} \binom{c_1 n}{(\frac{1}{4} + \alpha)c_1 n, \alpha c_1 n} = \tilde{\mathcal{O}}(2^{(1 - 0.1444c_1)n}) ,\\ R &= \binom{\frac{1}{2}c_1 n}{\frac{1}{4}c_1 n} \binom{\frac{1}{2}c_1 n}{\alpha c_1 n, \alpha c_1 n} = \tilde{\mathcal{O}}(2^{0.5704c_1 n}) .\end{aligned}$$

From equation (12) and  $r = \log R$ , Schroeppel-Shamir runs in time

$$T_1 = \tilde{\mathcal{O}}\left(\max\left\{|S_1|^{\frac{1}{2}}, \frac{|S_1|}{2^r}\right\}\right) \le \max\left\{2^{0.4278c_1n}, 2^{0.2852c_1n}\right\} = 2^{0.4278c_1n} ,$$

Algorithm 2: QUANTUM SUBSET SUM COLLISION FINDING II

Input	$: (\mathbf{a}, t) \in (\mathbb{Z}_{2^n})^{n+1}$
Output	$: \mathbf{e} \in \{0,1\}^n$
	<u> </u>

**Parameters**: Optimize  $r, \alpha$  as  $r = \log R$ ,  $\alpha = 0.0042$  and  $0 \le c_1 \le 1$ .

1. Let  $S_r^{\Sigma_i} := \{ \mathbf{x} \in S_i \mid \Sigma_i(\mathbf{x}) = 0 \mod 2^r \}$  for i = 1, 2.

Construct, via Schroeppel-Shamir algorithm, a sorted (by second entry) list

$$L = \{ (\mathbf{x}_c, \Sigma_1(\mathbf{x}_c)) \in S_r^{\Sigma_1} \times \mathbb{Z}_{2^n} \} \text{ with } |L| = 2^{\ell} .$$

2. Perform amplitude amplification with the following Setup and Oracle.

(i) Setup: Construct

$$|\phi_r\rangle \coloneqq \frac{1}{\sqrt{|S_r^{\Sigma_2}|}} \sum_{\mathbf{x}_q \in S_r^{\Sigma_2}} |\mathbf{x}_q, \Sigma_2(\mathbf{x}_q)\rangle |0\rangle$$

(ii) Oracle: Set-Membership-Oracle  $O_{f_L^{\Sigma}}$ 

$$O_{f_L^{\Sigma}}(|\phi_r\rangle) = \frac{1}{\sqrt{|S_r^{\Sigma_2}|}} \sum_{\mathbf{x}_q \in S_r^{\Sigma_2}} |\mathbf{x}_q, \Sigma_2(\mathbf{x}_q)\rangle \left| f_L^{\Sigma}(\mathbf{x}_q) \right\rangle.$$

Amplitude amplification eventually outputs some  $|(\mathbf{x}_q, \Sigma_2(\mathbf{x}_q))\rangle |1\rangle$ .

3. For the quantum half  $\mathbf{x}_q$  search for the classic half  $\mathbf{x}_c \in L$  with  $\Sigma_1(\mathbf{x}_c) = \Sigma_2(\mathbf{x}_q)$  and  $\mathbf{x}_c + \mathbf{x}_q \in \{0, 1\}^n$ .

using classical memory

$$M = \tilde{\mathcal{O}}\left(\max\left\{|S_1|^{\frac{1}{4}}, \frac{|S_1|}{2^r}\right\}\right) \le \max\left\{2^{0.2139c_1n}, 2^{0.2852c_1n}\right\} = 2^{0.2852c_1n}.$$
 (13)

Algorithm 2's amplitude amplification operates on  $\mathcal{O}(n)$  qubits without classical memory.

Using equation (6) and  $\ell = \log |S_1| - r$ , amplitude amplification runs in expected time

$$T_{2} = \tilde{\mathcal{O}}\left(\left(2^{-\frac{\ell}{2}} + 2^{\frac{\ell-r}{2}}\right) \frac{|S_{1}|^{\frac{1}{2}}|S_{2}|^{\frac{1}{2}}}{|R|^{\frac{1}{2}}}\right) = \tilde{\mathcal{O}}\left(|S_{2}|^{\frac{1}{2}} + \frac{|S_{1}||S_{2}|^{\frac{1}{2}}}{R^{\frac{3}{2}}}\right)$$
$$= \tilde{\mathcal{O}}\left(2^{(0.5-0.0722c_{1})n} + 2^{(0.5-0.0722c_{1})n}\right) = \tilde{\mathcal{O}}\left(2^{(0.5-0.0722c_{1})n}\right)$$

Thus by (7) the total expected runtime is

$$T = \tilde{\mathcal{O}}\left(\max\left\{T_1, T_2\right\}\right) = \tilde{\mathcal{O}}\left(\max\left\{2^{0.4278c_1n}, 2^{(0.5-0.0722c_1)n}\right\}\right)$$
$$= \tilde{\mathcal{O}}\left(2^{(0.5-0.0722c_1)n}\right) .$$

Using  $2^{-c_1n} \leq M^{-\frac{1}{0.2852}}$  from Equation (13), we achieve the desired trade-off

$$T = \tilde{\mathcal{O}}\left(\frac{2^{0.5n}}{M^{0.2532}}\right) \quad \text{for } M \le 2^{0.2852n} .$$

Theorem 2 provides a time-memory-tradeoff between runtime and classical memory while using only  $\mathcal{O}(n)$  qubits. Note that any classical memory consumption helps us to beat Grover's square root bound. We compare to purely classical time/memory tradeoffs in Figure 4. Notice that our quantum algorithm beats any classical algorithm in the memory regime  $M \leq 2^{n/5}$ .



Fig. 4. Comparison of our small qubit algorithm with purely classical time/memory tradeoffs.

#### 4.1 Optimization of Algorithm 2

We further improve on the analysis of Algorithm 2 by elaborating on the representations, the algorithm itself remains unchanged.

More Tunable Representations. Let  $0 \le z \le 1/2$  and  $c, d \in [0, 1]$  with  $c + d \ge 1$ . We define the following sets

$$T(c,d,z) := \left\{ \mathbf{x} \in \{-1,0,1\}^{(c+d-1)n} \middle| \begin{array}{l} \mathbf{x} \text{ contains } (z+\alpha) (c+d-1)n \text{ many } 1\text{'s} \\ \text{and } \alpha(c+d-1)n \text{ many } (-1)\text{'s} \end{array} \right\},\$$
$$B(c) = \left\{ \mathbf{x} \in \{0,1\}^{cn} \middle| \mathbf{x} \text{ contains } \frac{1}{2}cn \text{ many } 1\text{'s} \right\}.$$

We set our search spaces as

$$S_1 = B(1 - c_2) \times T(c_1, c_2, z) \times 0^{(1 - c_1)n} ,$$
  

$$S_2 = 0^{(1 - c_2)n} \times T(c_1, c_2, \frac{1}{2} - z) \times B(1 - c_1)$$

In Algorithm 2 the parameter  $0 \leq z \leq 1/2$  controls the relative weight in the



**Fig. 5.** Visualization of search spaces  $S_1, S_2$ .

overlapping part, see Figure 5. The sizes of the search spaces are

$$\begin{split} |S_1| &= |B(1-c_2)| \cdot |T(c_1,c_2,z)| \\ &= \binom{(1-c_2)n}{\frac{1}{2}(1-c_2)n} \binom{(c_1+c_2-1)n}{(z+\alpha)(c_1+c_2-1)n,\alpha(c_1+c_2-1)n}, \\ |S_2| &= |B(1-c_1)| \cdot |T(c_1,c_2,\frac{1}{2}-z)| \\ &= \binom{(1-c_1)n}{\frac{1}{2}(1-c_1)n} \binom{(c_1+c_2-1)n}{(\frac{1}{2}-z+\alpha)(c_1+c_2-1)n,\alpha(c_1+c_2-1)n}, \\ \end{split}$$

with the number of representations

$$R = \begin{pmatrix} \frac{1}{2}(c_1 + c_2 - 1)n\\ z(c_1 + c_2 - 1)n \end{pmatrix} \begin{pmatrix} \frac{1}{2}(c_1 + c_2 - 1)n\\ \alpha(c_1 + c_2 - 1)n, \alpha(c_1 + c_2 - 1)n \end{pmatrix}$$

Optimization of  $c_1$ ,  $c_2$ ,  $\alpha$  and z yields a slight improvement over Theorem 2, as illustrated in Table 1 and Figure 4.

	$\log_2(M)/n$	0.00	0.05	0.10	0.15	0.20	0.25	0.285
Optimization	$\log_2(T)/n$	0.500	0.483	0.469	0.456	0.446	0.436	0.428
Theorem 2		0.500	0.487	0.475	0.462	0.449	0.437	0.428

Table 1. Results of optimization.

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